



ATTORNEY DOCKET NO.: EMC04-05(04034)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Richard Francis Cormier  
Serial No.: 10/814,666  
For: METHODS AND APPARATUS PROVIDING BACKWARD  
COMPATIBILITY FOR APPLICATIONS THAT ACCESS A CHANGING  
OBJECT MODEL  
Filing Date: March 31, 2004  
Examiner: Unassigned  
Art Unit: 2127  
Conf. No.: 5977

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Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **MAIL STOP MISSING PARTS**, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

Date: October 12, 2004

By: Gail L. Hardy  
(Typed or printed name of person mailing  
Document, whose signature appears below)

Signature: 

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**MAIL STOP MISSING PARTS**  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts dated June 14, 2004, in connection with the above-identified Application, Applicants enclose an executed Declaration/Power of Attorney, Copy of executed Assignment, Filing Fees including One-Month Extension Fee, and a surcharge under 37 C.F.R. 1.136(a).

- 2 -

A general authorization is hereby granted to charge deposit account number 50-0901 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this patent application or for any additional fees that may be due in this matter at this time.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.,



Barry W. Chapin, Esq.  
Attorney for Applicants  
U.S.P.T.O. Registration No. 39,934  
Chapin & Huang, LLC  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: 508-366-9600  
Facsimile: 508-616-9805

Attorney Docket No.: EMC04-05(04034)

Date: October 12, 2004



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**MAIL STOP MISSING PARTS**  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith are the following:

- 2 -

- [x] Transmittal Letter (this form, 2 Pages, in duplicate), Total Pages: 4;
- [x] Copy of Notice to File Missing Parts of Application; Total Pages: 2;
- [x] Response to Notice to File Missing Parts of Application; Total Pages: 2;
- [x] Petition for TWO-MONTH EXTENSION of Time, Total Pages: 2;
- [x] Executed Declaration and Power of Attorney, Total Pages: 3;
- [x] Assignment Recordation Cover Sheet, Total Pages: 1;
- [x] Copy of Executed Assignment, Total Pages: 2;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-0901, if required;
- [x] Check in the amount of \$ 1,658.00, which includes the following:

For:	<b>Notice To File Missing Parts:</b>	
	10 Extra Claims @ 18.00 each =	\$180.00
	<u>1</u> Extra Ind. Claims @ 88.00 each =	\$ 88.00
	Filing Fee:	\$790.00
	Assignment Filing Fee:	\$ 40.00
	Surcharge Fee:	\$130.00
	Two-Month Extension Fee:	\$430.00
Amount:	<b>\$1,658.00</b>	

If the enclosed fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.,



Barry W. Chapin, Esq.  
 Attorney for Applicants  
 U.S.P.T.O. Registration No. 39,934  
 Chapin & Huang, LLC  
 1700 West Park Drive  
 Westborough, Massachusetts 01581  
 Telephone: 508-366-9600  
 Facsimile: 508-616-9805

The PTO did not receive the following  
 listed item(s) A-check for \$1658.00  
but we did receive for \$1316.00

Attorney Docket No.: EMC04-05(04034)

Dated: October 12, 2004

IFW

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/814,666	03/31/2004	Richard Francis Cormier	EMC04-05(04034)

CONFIRMATION NO. 5977

022468  
 CHAPIN & HUANG L.L.C.  
 WESTBOROUGH OFFICE PARK  
 1700 WEST PARK DRIVE, SUITE 120  
 WESTBOROUGH, MA 01581

## FORMALITIES LETTER



\*OC000000012928021\*

10/18/2004 HDEKES1 00000009 500901 10814566

03 FC:1051		130.00 OP
04 FC:1001	74.00 DA	716.00 OP
05 FC:1201	88.00 DA	
06 FC:1202	180.00 DA	

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$266 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1166** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$266**

- \$86 for 1 independent claims over 3.
- \$180 for 10 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE